South Australia

## **Gene Technology Variation Regulations 2019**

under the Gene Technology Act 2001

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## Part 1—Preliminary

### 1—Short title

These regulations may be cited as the Gene Technology Variation Regulations 2019.

### 2—Commencement

- (1) Subject to this regulation, these regulations come into operation on 8 October 2019.
- (2) Part 3 of these regulations come into operation on 1 July 2020.
- (3) Part 4 of these regulations come into operation on 8 October 2020.

### **3**—Variation provisions

In these regulations, a provision under a heading referring to the variation of specified regulations varies the regulations so specified.

## Part 2—Variation of *Gene Technology Regulations 2017* (commencing on 8 October 2019)

### 4—Variation of regulation 3—Definitions

(1) Regulation 3, definition of *characterised*—delete the definition and substitute:

### characterised means-

- (a) in relation to a nucleic acid—the nucleic acid has been sequenced and there is an understanding of potential gene products or potential functions of the nucleic acid; or
- (b) in relation to a genetic modification—the gene or genomic region which is modified has been sequenced and there is an understanding of—
  - (i) potential gene products or potential functions of the gene or genomic region; and
  - (ii) the likely effect of the genetic modification on the gene products or functions;

(2) Regulation 3—after definition of *genetically modified laboratory rat* insert:

*host/vector system* has a meaning affected by subclause 2.1(3) of Schedule 2;

- Regulation 3, definition of *non-vector system*—delete the definition and substitute:
   *non-vector system* has the meaning given in Part 3 of Schedule 2;
- (4) Regulation 3, definition of *toxin-producing organism*—delete "µg/kg" and substitute:
   micrograms per kilogram
- (5) Regulation 3, note, dot point 7—delete dot point 7

### 5—Revocation of regulation 3B

Regulation 3B—delete the regulation

### 6-Variation of regulation 4-Techniques not consisting gene technology

Regulation 4—delete "section 10" and substitute:

subsection 10(1)

### 7—Insertion of regulation 4A

After regulation 4 insert:

### 4A—Organisms that are genetically modified organisms

For the purposes of paragraph (c) of the definition of *genetically modified organism* in subsection 10(1) of the Act, an organism is a genetically modified organism if an item in Schedule 1B applies to the organism.

### 8—Substitution of regulation 5

Regulation 5—delete the regulation and substitute:

### 5—Organisms that are not genetically modified organisms

For the purposes of paragraph (e) of the definition of *genetically modified organism* in subsection 10(1) of the Act, an organism is not a genetically modified organism if—

- (a) one or more items in Schedule 1 applies to the organism; and
- (b) the organism has not been modified by gene technology except for any modifications described in those items; and
- (c) the organism has not inherited any traits from an organism (the *initial organism*), being traits that occurred in the initial organism because of gene technology, except as described in item 9 in Schedule 1; and
- (d) none of the items in Schedule 1B applies to the organism.

### 9—Variation of regulation 9—Prescribed authorities

Regulation 9(f)—delete paragraph (f) and substitute:

(f) that part of the Department known as the Therapeutic Goods Administration.

# 10—Variation of regulation 11A—Time limit for deciding variation application

Regulation 11A(2)(b)—delete ", will not be counted"

### 11—Variation of regulation 12—Notifiable low risk dealings

Regulation 12(1)(a)—delete paragraph (a) and substitute:

- (a) it is a dealing of a kind mentioned in Part 1 or 2 of Schedule 3; and
- (aa) it is not a dealing of a kind mentioned in Part 3 of Schedule 3; and

# 12—Variation of regulation 13—Requirements for undertaking notifiable low risk dealings

- (1) Regulation 13(1)(d)—delete paragraph (d) and substitute:
  - (d) the dealing is only undertaken no later than the day 5 years after the date of the assessment; and
- (2) Regulation 13(1)(e)—after "in" insert:

, or is in a class of persons mentioned in,

- (3) Regulation 13(1)(f)—delete paragraph (f) and substitute:
  - (f) subject to subregulation (3), the dealing is undertaken in facilities that—
    - (a) are mentioned in, or are in a class of facilities mentioned in, the Institutional Biosafety Committee's record of assessment as being appropriate for the dealing; and
    - (b) are facilities in which subregulation (2) permits the dealing to be undertaken; and
- (4) Regulation 13(1)(h)—delete "dealing; and" and substitute:

dealing.

- (5) Regulation 13(1)(i)—delete paragraph (i)
- (6) Regulation 13(1), note—delete the note
- (7) Regulation 13(2)(b)—delete paragraph (b) and substitute:
  - (b) for a kind of dealing mentioned in clause 2.1 of Schedule 3 (but not clause 2.2)—in a facility certified by the Regulator to at least physical containment level 2 and that is appropriate for the dealing; or
  - (ba) for a kind of dealing mentioned in clause 2.2 of Schedule 3—in a facility certified by the Regulator to at least physical containment level 3 and that is appropriate for the dealing; or

- (8) Regulation 13(3)—delete subregulation (3) and substitute:
  - (3) If a notifiable low risk dealing involves the transportation, storage or disposal of a GMO, the transportation, storage or disposal may happen outside a facility that complies with paragraph (1)(f) and subregulation (2), if it is conducted in accordance with—
    - (a) the *Guidelines for the Transport, Storage and Disposal of GMOs*, as in force from time to time, that have been issued by the Regulator under paragraph 27(d) of the Act; or
    - (b) transportation, storage or disposal requirements that the Regulator has agreed in writing are appropriate for the containment of the GMO.

### 13—Revocation of regulation 13A

Regulation 13A-delete the regulation

### 14—Insertion of Part 8

After Part 7 insert:

### Part 8—Application and transitional provisions

### Division 1—Amendments made by the Gene Technology Variation Regulations 2019

### 41—Changed requirements for dealings

Former exempt dealings

(1) If—

- (a) a person was undertaking a dealing before the amending day; and
- (b) the dealing was an exempt dealing under the old regulations; and
- (c) the dealing is not (apart from this provision) an exempt dealing under the new regulations,

then, despite the amendments, the dealing is an exempt dealing when undertaken by the person.

- (2) Subregulation (1) applies until—
  - (a) the dealing is assessed, under the new regulations, as a notifiable low risk dealing by an Institutional Biosafety Committee; or
  - (b) the person is issued a GMO licence for the dealing; or
  - (c) 1 year after the amending day if neither of the events in paragraphs (a) and (b) occurs before then.

Former notifiable low risk dealings

- (3) If—
  - (a) a person was undertaking a dealing before the amending day; and
  - (b) the dealing was a notifiable low risk dealing under the old regulations; and
  - (c) the dealing—
    - (i) is not (apart from this provision) a notifiable low risk dealing under the new regulations; and
    - (ii) is not an exempt dealing,

then, despite the amendments, the dealing is a notifiable low risk dealing when undertaken by the person.

- (4) Subregulation (3) applies until—
  - (a) the person is issued a GMO licence for the dealing; or
  - (b) 1 year after the amending day if the person is not issued a GMO licence before then.

Changed requirements for notifiable low risk dealings

- (5) If a person was undertaking a notifiable low risk dealing before the amending day, the dealing is, for the purposes of section 37 of the Act, undertaken in accordance with the regulations if—
  - (a) it is undertaken in accordance with the old regulations; or
  - (b) it is undertaken in accordance with the new regulations.

### Definitions

(7) In this regulation—

*amending day* means the day that Part 2 of the amending regulations commences;

*amending regulations* means the *Gene Technology Variation Regulations 2019*;

*new regulations* means these regulations as amended by the amending regulations;

*old regulations* means these regulations as in force immediately before the amending day.

### 15—Variation of Schedule 1A—Techniques that are not gene technology

Schedule 1A, Table of Particulars—delete the table and substitute:

#### Item Description of technique

- 1 Somatic cell nuclear transfer, if the transfer does not involve genetically modified material.
- 2 Electromagnetic radiation-induced mutagenesis.

Variation of Gene Technology Regulations 2017 (commencing on 8 October 2019)—Part 2

### Item Description of technique

- 3 Particle radiation-induced mutagenesis.
- 4 Chemical-induced mutagenesis.
- 5 Fusion of animal cells, or human cells, if the fused cells are unable to form a viable whole animal or human.
- 6 Protoplast fusion, including fusion of plant protoplasts.
- 7 Embryo rescue.
- 8 In vitro fertilisation.
- 9 Zygote implantation.
- 10 A natural process, if the process does not involve genetically modified material.

### Examples—

Examples of natural processes include conjugation, transduction, transformation and transposon mutagenesis.

- 11 Introduction of RNA into an organism if—
  - (a) the RNA cannot be translated into a polypeptide; and
  - (b) the introduction of the RNA cannot result in an alteration of the organism's genome sequence; and
  - (c) the introduction of the RNA cannot give rise to an infectious agent.

### 16—Insertion of Schedule 1B

After Schedule 1A insert:

# Schedule 1B—Organisms that are genetically modified organisms

Note—

See regulation 4A.

### 1.1—Genetically modified organisms

For the purposes of regulation 4A, an organism is a genetically modified organism if an item in the following table applies to the organism.

### Item Description of organism

- 1 An organism that has had its genome modified by oligonucleotide-directed mutagenesis.
- 2 An organism modified by repair of single-strand or double-strand breaks of genomic DNA induced by a site-directed nuclease, if a nucleic acid template was added to guide homology-directed repair.

# 17—Variation of Schedule 1—Organisms that are not genetically modified organisms

Schedule 1, Table of Particulars—delete the table and substitute:

### Item Description of organism

- 1 A mutant organism in which the mutational event did not involve the introduction of any foreign nucleic acid (that is, non-homologous DNA, usually from another species).
- 2 A whole animal, or a human being, modified by the introduction of naked recombinant nucleic acid (such as a DNA vaccine) into its somatic cells, if the introduced nucleic acid is incapable of giving rise to infectious agents.
- 3 Naked plasmid DNA that is incapable of giving rise to infectious agents when introduced into a host cell.
- 4 An organism modified by repair of single-strand or double-strand breaks of genomic DNA induced by a site-directed nuclease, if a nucleic acid template was not added to guide homology-directed repair.
- 6 An organism that results from an exchange of DNA if—
  - (a) the donor species is also the host species; and
  - (b) the vector DNA does not contain any heterologous DNA.
- 7 An organism that results from an exchange of DNA between the donor species and the host species if—
  - (a) such exchange can occur by naturally occurring processes; and
  - (b) the donor species and the host species are micro-organisms that—
    - (i) satisfy the criteria in AS/NZS 2243.3:2010, for classification as Risk Group 1; and
    - (ii) are known to exchange nucleic acid by a natural physiological process; and
  - (c) the vector used in the exchange does not contain heterologous DNA from any organism other than an organism that is involved in the exchange.
- 8 An organism that is descended from a genetically modified organism (the *initial organism*), if none of the traits it has inherited from the initial organism are traits that occurred in the initial organism because of gene technology.
- 9 An organism that has inherited particular traits from an organism (the *initial organism*), being traits that occurred in the initial organism because of gene technology, if—
  - (a) the initial organism was not a genetically modified organism (because of the application of regulation 5); or
  - (b) all such inherited traits are traits that occurred in the initial organism as a result of a modification described in an item in this Schedule.
- 10 An organism that was modified by gene technology but in which the modification, and any traits that occurred because of gene technology, are no longer present.
- 11 Agrobacterium radiobacter strain K1026.
- 12 Pasteurella multocida strain PMP1.

### 18—Variation of Schedule 2—Dealings exempt from licensing

Schedule 2, Parts 1 and 2—delete the parts and substitute:

## Part 1—Exempt dealings

| Item | l <u> </u> | Description of dealing   |  |  |  |  |
|------|------------|--|--|--|--|--|
| 2    |            | A dealing with a genetically modified Caenorhabditis elegans, unless-  |  |  |  |  |
|      |            | (a) an advantage is conferred on the animal by the genetic modification; or  |  |  |  |  |
|      |            | (b) as a result of the genetic modification, the animal is capable of secreting or producing an infectious agent.  |  |  |  |  |
| 3    |            | A dealing with an animal into which genetically modified somatic cells have been introduced, if—   |  |  |  |  |
|      |            | (a) the somatic cells are not capable of giving rise to infectious agents as a result of the genetic modification; and   |  |  |  |  |
|      |            | (b) the animal is not infected with a virus that is capable of recombining with the genetically modified nucleic acid in the somatic cells.  |  |  |  |  |
| 3A   |            | A dealing with an animal whose somatic cells have been genetically modified <i>in vivo</i> by a replication defective viral vector, if—  |  |  |  |  |
|      |            | (a) the <i>in vivo</i> modification occurred as part of a previous dealing; and  |  |  |  |  |
|      |            | (b) the replication defective viral vector is no longer in the animal; and   |  |  |  |  |
|      |            | (c) no germ line cells have been genetically modified; and   |  |  |  |  |
|      |            | (d) the somatic cells cannot give rise to infectious agents as a result of the genetic modification; and   |  |  |  |  |
|      |            | (e) the animal is not infected with a virus that can recombine with the genetically modified nucleic acid in the somatic cells of the animal.  |  |  |  |  |
| 4    | (1)        | Subject to subitem (2), a dealing involving a host/vector system<br>mentioned in Part 2 of this Schedule and producing no more than 25 litres<br>of GMO culture in each vessel containing the resultant culture. |  |  |  |  |
|      | (2)        | The donor nucleic acid—  |  |  |  |  |
|      |            | (a) must meet either of the following requirements:  |  |  |  |  |
|      |            | <ul> <li>(i) it must not be derived from organisms implicated in, or<br/>with a history of causing, disease in otherwise healthy—</li> </ul>   |  |  |  |  |
|      |            | (A) human beings; or   |  |  |  |  |
|      |            | (B) animals; or  |  |  |  |  |
|      |            | (C) plants; or   |  |  |  |  |

(D) fungi;

| Item    | Descri                                     | otion  | of dealing  |   |  |  |
|---------|--|--|---|---|--|--|
|         |  | (ii)   | it must be characterised and the information derived from<br>its characterisation show that it is unlikely to increase the<br>capacity of the host or vector to cause harm; and |   |  |  |
|         |  |  | Example—  |   |  |  |
|         |  |  | Donor nu<br>subparag<br>that, in re<br>vector to  | Icleic acid would not comply with<br>raph (ii) if its characterisation shows<br>elation to the capacity of the host or<br>cause harm, it— |  |  |
|         |  |  | (a)   | provides an advantage; or   |  |  |
|         |  |  | (b)   | adds a potential host species or mode of transmission; or   |  |  |
|         |  |  | (c)   | increases its virulence, pathogenicity or transmissibility.   |  |  |
|         | (b)  | mu   | st not code for a toxi  | n with an $LD_{_{50}}$ of less than   |  |  |
|         |  | 100  | ) micrograms per kild   | ogram; and  |  |  |
|         | (c)  | mu   | must not code for a toxin with an $LD_{50}$ of 100 micrograms pe kilogram or more, if the intention is to express the toxin at hi levels; and                                   |   |  |  |
|         |  | kilo<br>lev  |   |   |  |  |
|         | (d)  | mu<br>org  | nust not be uncharacterised nucleic acid from a toxin-produc<br>organism; and   |   |  |  |
|         | (e)  | if th<br>rise<br>spe<br>tha  | the donor nucleic acid<br>to infectious agents<br>acies, without addition<br>t—   | l includes a viral sequence—cannot give<br>when introduced into any potential host<br>nal non-host genes or gene products                 |  |  |
|         |  | (i)  | are not available in is introduced as part  | the host cell into which the nucleic acid rt of the dealing; and  |  |  |
|         |  | (ii)   | will not become av  | ailable during the dealing; and   |  |  |
|         | (f)  | if th<br>rest  | he donor nucleic acid<br>tore replication comp  | l includes a viral sequence—cannot<br>betence to the vector.  |  |  |
| 5       | A deali<br>library,<br>Part 2 c<br>either— | ealing involving shot-gun cloning, or the preparation of a cDNA<br>ry, in a host/vector system mentioned in items 1 to 6 of the table in<br>2 of this Schedule, if the donor nucleic acid is not derived from<br>er— |   |   |  |  |
|         | (a)  | a p  | athogen; or   |   |  |  |
|         | (b)  | a to   | oxin-producing organ  | ism.  |  |  |
| Part 2– | –Host                                      | t/ve   | ctor systems  | for exempt dealings   |  |  |

### **2.1—Hosts and vectors**

- (1) A reference to a host mentioned in this Part is a reference to a host mentioned in column 2 of an item of the table in this clause.
- (2) A reference to a vector mentioned in this Part is a reference to a vector mentioned in column 3 of an item of the table in this clause.

- (3) A reference to a *host/vector system* mentioned in this Part is a reference to any of the following:
  - (a) a system involving a host mentioned in column 2 of an item of the table in this clause and a vector mentioned in column 3 of the same item;
  - (b) a non-vector system involving a host mentioned in column 2 of an item of the table;
  - (c) a system involving a GMO mentioned as a vector in column 3 of an item of the table (except item 7), without a host.

Note—

Column 1 of the table is included for information only.

| Item | Column 1   | Column   | n 2   | Column 3              |  |  |
|------|------------|--|---|-----------------------|--|--|
|      | Host class | Hosts  |   | Vectors               |  |  |
| 1    | Bacteria   | <i>Escherichia coli</i> K12, <i>E. coli</i> B,<br><i>E. coli</i> C or <i>E. coli</i><br>Nissle 1917—any derivative that<br>does not contain— |   | Any of the following: |  |  |
|      |            | (a)  | generalised transducing phages; or  | (a)                   | non-conjugative<br>plasmids;   |  |
|      |            | (b)  | genes able to<br>complement the<br>conjugation defect in a<br>non-conjugative<br>plasmid. | (b)                   | lambda<br>bacteriophage;   |  |
|      |            |  |   | (c)                   | lambdoid<br>bacteriophage;   |  |
|      |            |  |   | (d)                   | Fd, F1 or M13 bacteriophage.   |  |
| 2    | Bacteria   | <i>Bacillus</i><br>the follor<br>reversio<br>than 10 <sup>-</sup>  | asporogenic strains of<br>owing species with a<br>n frequency of less<br>- <sup>7</sup> : | Any of                | the following:   |  |
|      |            | (a)  | B. amyloliquefaciens;   | (a)                   | non-conjugative<br>plasmids;   |  |
|      |            | (b)  | B. licheniformis;   | (b)                   | other plasmids and<br>phages whose host<br>range does not<br>include <i>B. cereus</i> ,<br><i>B. anthracis</i> or any<br>other pathogenic<br>strain of <i>Bacillus</i> . |  |
|      |            | (c)  | B. pumilus;   |                       |  |  |
|      |            | (d)  | B. subtilis;  |                       |  |  |
|      |            | (e)  | B. thuringiensis.   |                       |  |  |

| Item | Column 1   | Column 2           |   | Column 3                    |  |  |
|------|------------|--------------------|---|-----------------------------|--|--|
|      | Host class | Hosts              |   | Vectors                     |  |  |
| 3    | Bacteria   | Pseudon<br>KT 2440 | <i>nonas putida</i> strain<br>).                            | Non-cor                     | njugative plasmids.                                      |  |
| 4    | Bacteria   | The follo species: | The following <i>Streptomyces</i> species:                  |                             | Any of the following:                                    |  |
|      |            | (a)                | S. aureofaciens;  | (a)                         | non-conjugative<br>plasmids;                             |  |
|      |            | (b)                | S. coelicolor;  | (b)                         | plasmids SCP2,<br>SLP1, SLP2, pIJ101<br>and derivatives; |  |
|      |            | (c)                | S. cyaneus;   | (c)                         | actinophage phi C31 and derivatives.                     |  |
|      |            | (d)                | S. griseus;   |                             |  |  |
|      |            | (e)                | S. lividans;  |                             |  |  |
|      |            | (f)                | S. parvulus;  |                             |  |  |
|      |            | (g)                | S. rimosus;   |                             |  |  |
|      |            | (h)                | S. venezuelae.  |                             |  |  |
| 5    | Bacteria   | Any of t           | he following:   | Disarmed Ri or Ti plasmids. |  |  |
|      |            | (a)                | Agrobacterium<br>radiobacter;                               |                             |  |  |
|      |            | (b)                | Agrobacterium<br>rhizogenes (disarmed<br>strains only);     |                             |  |  |
|      |            | (c)                | Agrobacterium<br>tumefaciens (disarmed<br>strains only).    |                             |  |  |
| 6    | Bacteria   | Any of t           | he following:   | Non-cor                     | njugative plasmids.                                      |  |
|      |            | (a)                | Allorhizobium species;                                      |                             |  |  |
|      |            | (b)                | Corynebacterium<br>glutamicum;                              |                             |  |  |
|      |            | (c)                | Lactobacillus species;                                      |                             |  |  |
|      |            | (d)                | Lactococcus lactis;   |                             |  |  |
|      |            | (e)                | Oenococcus oeni syn.<br>Leuconostoc oeni;                   |                             |  |  |
|      |            | (f)                | Pediococcus species;  |                             |  |  |
|      |            | (g)                | Photobacterium<br>angustum;                                 |                             |  |  |
|      |            | (h)                | Pseudoalteromonas<br>tunicata;                              |                             |  |  |
|      |            | (i)                | Rhizobium species;  |                             |  |  |
|      |            | (j)                | Sphingopyxis alaskensis<br>syn. Sphingomonas<br>alaskensis; |                             |  |  |

| Item | Column 1          | Column 2                         |  | Column 3                                    |  |
|------|-------------------|----------------------------------|--|---|--|
|      | Host class        | Hosts                            |  | Vectors                                     |  |
|      |                   | (k)                              | Streptococcus<br>thermophilus;   |   |  |
|      |                   | (1)                              | <i>Synechococcus</i> species<br>strains PCC 7002,<br>PCC 7942 and<br>WH 8102;          |   |  |
|      |                   | (m)                              | <i>Synechocystis</i> species strain PCC 6803;  |   |  |
|      |                   | (n)                              | Vibrio cholerae<br>CVD103-HgR;   |   |  |
|      |                   | (0)                              | Zymomonas mobilis.   |   |  |
| 7    | Fungi             | Any of t                         | he following:  | All vecto                                   | ors.   |
|      |                   | (a)                              | Kluyveromyces lactis;  |   |  |
|      |                   | (b)                              | <i>Neurospora crassa</i> (laboratory strains);   |   |  |
|      |                   | (c)                              | Pichia pastoris;   |   |  |
|      |                   | (d)                              | Saccharomyces<br>cerevisiae;   |   |  |
|      |                   | (e)                              | Schizosaccharomyces<br>pombe;  |   |  |
|      |                   | (f)                              | Trichoderma reesei;  |   |  |
|      |                   | (g)                              | Yarrowia lipolytica.   |   |  |
| 8    | Slime<br>moulds   | Dictyost                         | elium species.   | Dictyost<br>including<br>endogen<br>and Ddp | <i>elium</i> shuttle vectors,<br>g those based on the<br>ous plasmids Ddp1<br>2.   |
| 9    | Tissue<br>culture | Any of t<br>cannot s<br>whole an | he following if they<br>pontaneously generate a<br>nimal:                              | Any of the following:                       |  |
|      |                   | (a)                              | animal or human cell<br>cultures (including<br>packaging cell lines);                  | (a)   | plasmids;  |
|      |                   | (b)                              | isolated cells, isolated<br>tissues or isolated<br>organs, whether animal<br>or human; | (b)   | replication defective<br>viral vectors unable<br>to transduce human<br>cells;  |
|      |                   | (c)                              | early non-human<br>mammalian embryos<br>cultured <i>in vitro</i> .                     | (c)   | polyhedrin minus<br>forms of the<br>baculovirus<br><i>Autographa</i><br><i>californica</i> nuclear<br>polyhedrosis virus<br>(ACNPV). |

| Item | Column 1          | Column   | 2                                 | Column 3<br>Vectors   |   |  |
|------|-------------------|--|-----------------------------------|-----------------------|---|--|
|      | Host class        | Hosts  |                                   |                       |   |  |
| 10   | Tissue<br>culture | Either of the following if they are<br>not intended, and are not likely<br>without human intervention, to<br>vegetatively propagate, flower or<br>regenerate into a whole plant: |                                   | Any of the following: |   |  |
|      |                   | (a)  | plant cell cultures;              | (a)                   | Disarmed Ri or Ti<br>plasmids in<br>Agrobacterium<br>radiobacter,<br>Agrobacterium<br>rhizogenes (disarmed<br>strains only) or<br>Agrobacterium<br>tumefaciens<br>(disarmed strains<br>only); |  |
|      |                   | (b)  | isolated plant tissues or organs. | (b)                   | non-pathogenic viral vectors.   |  |

# 19—Variation of Schedule 3—Notifiable low risk dealings in relation to a GMO

(1) Schedule 3, Part 1, clause 1.1—delete "13(3)(b)" and substitute:

13(3)

- (2) Schedule 3, Part 1, clauses 1.1(c)—delete paragraph (c) and substitute:
  - (c) a dealing involving virions of a replication defective vector derived from *Human adenovirus* or from *Adeno-associated virus*, either without a host or with a host mentioned in item 9 of Part 2 of Schedule 2, if the donor nucleic acid—
    - (a) cannot restore replication competence to the vector; and
    - (b) does not confer an oncogenic modification or immunomodulatory effect in humans.
- (3) Schedule 3, Part 2, clause 2.1—delete "13(3)(b)" and substitute:

13(3)

(4) Schedule 3, Part 2, clause 2.1(d)—delete "host and vector not mentioned as a host/vector system" and substitute:

host/vector system not mentioned

- (5) Schedule 3, Part 2, clause 2.1(d)(ii)—delete "donor nucleic acid" and substitute: genetic modification
- (6) Schedule 3, Part 2, clause 2.1(d)(iii)—delete "donor nucleic acid" and substitute: genetic modification

(7) Schedule 3, Part 2, clause 2.1(d)(iii), example—delete "Donor nucleic acid" and substitute:

A genetic modification

- (8) Schedule 3, Part 2, clause 2.1(e)(i)—delete subparagraph (i) and substitute:
  - (i) is characterised, and the characterisation shows that it may increase the capacity of the host or vector to cause harm; or
- (9) Schedule 3, Part 2, clause 2.1(h)—delete "item 1 of" and substitute:

items 1 to 6 of the table in

- (10) Schedule 3, Part 2, clause 2.1(i)—delete "the introduction" and substitute: virions
- (11) Schedule 3, Part 2, clause 2.1(i)—delete "into" and substitute:

and

- (12) Schedule 3, Part 2, clause 2.1(j)—delete paragraph (j) and substitute:
  - (j) a dealing involving virions of a replication defective non-retroviral vector able to transduce human cells, either without a host or with a host mentioned in Part 2 of Schedule 2, if—
    - (a) the donor nucleic acid cannot restore replication competence to the vector; and
    - (b) the dealing is not a dealing mentioned in paragraph 1.1(c);
- (13) Schedule 3, Part 2, clause 2.1(k)—delete "the introduction" and substitute:

virions

- (14) Schedule 3, Part 2, clause 2.1(k)—delete "into" and substitute: and
- (15) Schedule 3, Part 2, clause 2.1(k)(ii)—delete subparagraph (ii) and substitute:
  - (ii) the donor nucleic acid does not confer an oncogenic modification or immunomodulatory effect in humans;
- (16) Schedule 3, Part 2, clause 2.1(1)—delete "a dealing involving the introduction of a replication defective retroviral vector able to transduce human cells into a host mentioned in Part 2 of Schedule 2, if" and substitute:

a dealing involving virions of a replication defective retroviral vector able to transduce human cells, either without a host or with a host mentioned in Part 2 of Schedule 2, if

(17) Schedule 3, Part 2, clause 2.1(l)(i)—delete "into a virion" and substitute:

new virions

(18) Schedule 3, Part 2, clause 2.1(m)—delete "the introduction" and substitute:

virions

(19) Schedule 3, Part 2, clause 2.1(m)—delete "into" and substitute:

and

- (20) Schedule 3, Part 2, clause 2.1(m)(i)—delete subparagraph (i) and substitute:
  - (i) the donor nucleic acids does not confer an oncogenic modification or immunomodulatory effect in humans; and
- (21) Schedule 3, Part 2, clause 2.1(m)(ii)—delete "into a virion" and substitute:

new virions

(22) Schedule 3, Part 2, clause 2.2—delete clause 2.2 and substitute:

## 2.2—Kinds of dealing suitable for at least physical containment level 3

- (1) A kind of dealing that—
  - (a) is a kind mentioned in clause 2.1; and
  - (b) involves a micro-organism that satisfies the criteria in AS/NZS 2243.3:2010 for classification as Risk Group 3,

must be undertaken, unless paragraph 13(2)(c) or subregulation 13(3) applies, in facilities certified to at least physical containment level 3 and that are appropriate for the dealings.

- (2) For the purposes of paragraph (1)(b), a genetically modified micro-organism is taken to satisfy the criteria in AS/NZS 2243.3:2010 for classification as Risk Group 3 if the unmodified parent micro-organism satisfies those criteria.
- (3) However, subclause (2) does not apply in relation to a replication defective retroviral vector that meets the criteria in paragraph 2.1(l) or (m).
- (23) Schedule 3, Part 3, heading, note 2—delete note 2 and substitute:

### Note 2—

If a dealing is not a notifiable low risk dealing, or an exempt dealing, as provided by these Regulations, a person undertaking the dealing must be authorised by a GMO licence unless the dealing is within one of the other exceptions to licensing provided by the Act: see section 32 of the Act.

(24) Schedule 3, Part 3, clause 3.1(a)—delete "µg/kg" and substitute:

### micrograms per kilogram

(25) Schedule 3, Part 3, clause 3.1(b)—delete "µg/kg" and substitute:

### micrograms per kilogram

- (26) Schedule 3, Part 3, clause 3.1(d) and (e)—delete paragraphs (d) and (e) and substitute:
  - (d) a dealing involving virions of a replication defective viral vector and a host not mentioned in Part 2 of Schedule 2, if—
    - (i) the donor nucleic acid confers an oncogenic modification or immunomodulatory effect in humans; and
    - (ii) the dealing is not a dealing mentioned in paragraph 2.1(i);

- (e) a dealing involving a replication competent virus or viral vector, other than a vector mentioned in Part 2 of Schedule 2, if the genetic modification confers an oncogenic modification or immunomodulatory effect in humans;
- (27) Schedule 3, Part 3, clause 3.1(f)(ii)(B)—delete "donor nucleic acid" and substitute: genetic modification
- (28) Schedule 3, Part 3, clause 3.1(f)(ii), example—delete "Donor nucleic acid" and substitute:

### A genetic modification

- (29) Schedule 3, Part 3, clause 3.1—after paragraph (p) insert:
  - (q) a dealing involving a micro-organism that satisfies the criteria in AS/NZS 2243.3:2010 for classification as Risk Group 3 and that is not undertaken—
    - (i) in a facility that is certified by the Regulator to at least physical containment level 3 and that is appropriate for the dealing; or
    - (ii) in a facility that the Regulator has agreed in writing is a facility in which the dealing may be undertaken;
  - (r) a dealing involving a GMO capable of sexual reproduction, the sexual progeny of which are, as a result of the genetic modification, more likely to inherit a particular nucleotide sequence or set of nucleotide sequences (when compared to inheritance from the unmodified parent organism);
  - (s) a dealing involving a viral vector that can modify an organism capable of sexual reproduction, so that the sexual progeny of the organism are more likely to inherit a particular nucleotide sequence or set of nucleotide sequences (when compared to inheritance from the unmodified parent organism).

Note—

A modification that increases the likelihood of inheritance of a nucleotide sequence or sequences, as described in paragraphs (r) and (s), is generally known as an engineered gene drive.

- (30) Schedule 3, Part 3, clause 3.1—after its present contents (now to be designated subclause (1)) insert:
  - (2) For the purposes of paragraph (1)(p), a genetically modified micro-organism is taken to satisfy the criteria in AS/NZS 2243.3:2010 for classification as Risk Group 4 if the unmodified parent micro-organism satisfies those criteria.
  - (3) For the purposes of paragraph (1)(q), a genetically modified micro-organism is taken to satisfy the criteria in AS/NZS 2243.3:2010 for classification as Risk Group 3 if the unmodified parent micro-organism satisfies those criteria.

(4) However, subclause (3) does not apply in relation to a replication defective retroviral vector that meets the criteria in paragraph 2.1(l) or (m).

## Part 3—Variation of *Gene Technology Regulations 2017* (commencing on 1 July 2020)

# 20—Variation of regulation 13—Requirements for undertaking notifiable low risk dealings

Regulation 13(1)(b)—delete paragraph (b) and substitute:

(b) the Institutional Biosafety Committee has assessed the dealing to be a kind of dealing mentioned in Part 1 or 2 of Schedule 3, and not mentioned in Part 3 of Schedule 3; and

### 21—Variation of regulation 13B—Requirements for Institutional Biosafety Committees about records of assessments of notifiable low risk dealing proposals

(1) Regulation 13B(a)(i)—delete "proposing to undertake the dealing" and substitute:

that submitted the proposal

- (2) Regulation 13B(a)(iii) and (iv)—delete subparagraphs (iii) and (iv) and substitute:
  - (iii) its assessment whether the dealing is a kind of dealing mentioned in Part 1 or 2 of Schedule 3, and not mentioned in Part 3 of Schedule 3;
  - (iv) if the Committee has assessed the dealing as being a kind of dealing mentioned in Part 1 or 2 of Schedule 3 (and not mentioned in Part 3 of Schedule 3)—which kind of dealing in those Parts that the dealing is;
- (3) Regulation 13B(a)(vii)—after "dealing" insert:

, having regard to the requirements of subregulation 13(2)

(4) Regulation 13B(a)(x)—delete "the name of the person or accredited organisation" and substitute:

the person or persons

# 22—Variation of regulation 13C—Information to be kept or given to the Regulator by persons or accredited organisations

- (1) Regulation 13C(1) and (2)—delete subregulations (1) and (2) and substitute:
  - (1) A person or accredited organisation that has been given a copy of a record of assessment by an Institutional Biosafety Committee under paragraph 13B(b) must, if the dealing has been assessed by the Committee as a notifiable low risk dealing, give the Regulator a record of the dealing.

- (2) A record of a dealing for the purposes of subregulation (1) must include—
  - (a) the particulars, prescribed under regulation 39 in relation to the dealing, to be included in the Record of GMO Dealings; and
  - (b) the name of the Committee that assessed the proposal relating to the dealing; and
  - (c) the name of the person or accredited organisation that submitted the proposal to the Committee for assessment.
- (2A) The record must be given to the Regulator—
  - (a) in a form approved by the Regulator; and
  - (b) no later than 30 September in the financial year following the one in which the Institutional Biosafety Committee made the assessment.
- (2B) An accredited organisation that is required, as a condition of accreditation, to give an annual report to the Regulator, must—
  - (a) include the record in the annual report for the year in which the Institutional Biosafety Committee made the assessment; or
  - (b) certify in the annual report that the record has previously been given to the Regulator.
- (2) Regulation 13C(3)—after "Committee" insert:

under paragraph 13B(b)

### 23—Substitution of regulation 39

Regulation 39—delete the regulation and substitute:

### **39—Record of GMO dealings**

For the purposes of subsection 138(4) of the Act, the following particulars are prescribed in relation to a notifiable low risk dealing that is notified to the Regulator:

- (a) the person or persons that proposed to undertake the dealing, as recorded by the Institutional Biosafety Committee that assessed the dealing as a notifiable low risk dealing;
- (b) the kind of notifiable low risk dealing, in terms of Part 1 or 2 of Schedule 3;
- (c) the identifying name given to the dealing by the person or accredited organisation that submitted the dealing to the Institutional Biosafety Committee for assessment;
- (d) the date of assessment by the Institutional Biosafety Committee that the dealing is a notifiable low risk dealing.

### 24—Insertion of regulations 42 and 43

After regulation 41 insert:

### 42—Previous assessment by an Institutional Biosafety Committee

- (1) This regulation applies if—
  - (a) before 1 July 2020, an Institutional Biosafety Committee assessed a dealing as being a notifiable low risk dealing mentioned in Part 1 or 2 of Schedule 3; and
  - (b) the record of the Committee's assessment does not indicate that the Committee assessed whether the dealing is of a kind mentioned in Part 3 of Schedule 3.
- (2) The Committee is taken to have assessed the dealing as being a kind of dealing that is not mentioned in Part 3 of Schedule 3.

### 43—New requirements for giving records to Regulator apply to notifiable low risk dealing assessed in previous financial year

Regulation 13C as amended by the *Gene Technology Variation Regulations 2019* applies in relation to a dealing that has been assessed by an Institutional Biosafety Committee as a notifiable low risk dealing on or after 1 July 2019.

## Part 4—Variation of *Gene Technology Regulations 2017* (commencing on 8 October 2020)

# 25—Variation of Schedule 1—Organisms that are not genetically modified organisms

Schedule 1, Table of Particulars, item 1-delete item 1

Note—

As required by section 10AA(2) of the *Subordinate Legislation Act 1978*, the Minister has certified that, in the Minister's opinion, it is necessary or appropriate that these regulations come into operation as set out in these regulations.

### Made by the Governor

with the advice and consent of the Executive Council on 3 October 2019

No 216 of 2019