

SOUTH AUSTRALIA

CONVEYANCERS ACT 1994

This Act is reprinted pursuant to the Acts Republication Act 1967 and incorporates all amendments in force as at 1 July 1999.

It should be noted that the Act was not revised (for obsolete references, etc.) by the Commissioner of Statute Revision prior to the publication of this reprint.

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CONVEYANCERS ACT 1994

being

Conveyancers Act 1994 No. 86 of 1994
[Assented to 15 December 1994]¹

as amended by

Statutes Amendment (Sunday Auctions and Indemnity Fund) Act 1995 No. 96 of 1995 [Assented to 7 December 1995]²

Statutes Amendment and Repeal (Common Expiation Scheme) Act 1996 No. 34 of 1996 [Assented to 2 May 1996]³

Statutes Amendment (Consumer Affairs) Act 1998 No. 21 of 1998 [Assented to 2 April 1998]⁴ (as amended by Statutes Amendment (Consumer Affairs) Amendment Act 1998 No. 22 of 1998 [Assented to 2 April 1998])

Financial Sector Reform (South Australia) Act 1999 No. 33 of 1999 [Assented to 17 June 1999]⁵

¹ Came into operation (except s. 9) 1 June 1995: *Gaz.* 25 May 1995, p. 2198; s. 9 came into operation 15 December 1996 (by virtue of the Acts Interpretation Act 1915, s. 7(5)).

² Came into operation on assent: s. 2(1).

³ Came into operation 3 February 1997: *Gaz.* 19 December 1996, p. 1923.

⁴ Part 5 (ss. 12-15) & Sched. came into operation 28 May 1998: *Gaz.* 28 May 1998, p. 2292.

⁵ **Schedule (item 12) came into operation 1 July 1999: being the date specified under section 3(16) of the *Financial Sector Reform (Amendments and Transitional Provisions) Act (No. 1) 1999* of the Commonwealth as the transfer date for the purposes of that Act.**

NOTE:

- Asterisks indicate repeal or deletion of text.
- Entries appearing in bold type indicate the amendments incorporated since the last reprint.
- For the legislative history of the Act see Appendix.

An Act to regulate conveyancers; and for other purposes.

The Parliament of South Australia enacts as follows:

**PART 1
PRELIMINARY**

Short title

1. This Act may be cited as the *Conveyancers Act 1994*.

Commencement

2. This Act will come into operation on a day to be fixed by proclamation.

Interpretation

3. In this Act, unless the contrary intention appears—

"**Commissioner**" means the Commissioner for Consumer Affairs;

"**conveyancer**" means a person, other than a legal practitioner, who carries on a business that consists of or involves the preparation of conveyancing instruments for fee or reward;

"**conveyancing instrument**" means an instrument as defined in the *Real Property Act 1886*;

"**Court**" means the Administrative and Disciplinary Division of the District Court of South Australia;

"**director**" of a company includes—

- (a) a person occupying or acting in the position of director of the company, by whatever name called and whether or not validly appointed to occupy or duly authorised to act in the position; and
- (b) any person in accordance with whose directions or instructions the directors of the company are accustomed to act;

"**indemnity fund**" means the indemnity fund maintained under Part 3 of the *Land Agents Act 1994*;

"**land**" includes an interest in land;

"**legal practitioner**" means a person admitted and enrolled as a practitioner of the Supreme Court of South Australia;

"**money**" includes an instrument for the payment of money that may be negotiated by an ADI;

"**mortgage**" means a legal or equitable mortgage over land;

"**mortgage financing**" means negotiating or arranging loans secured by mortgage including receiving or dealing with payments under such transactions;

"**prescribed relative**", in relation to a person, means a spouse, parent, child or grandchild of the person;

"**spouse**" includes a person who is a putative spouse (whether or not a declaration has been made under the *Family Relationships Act 1975* in relation to that person).

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Commissioner to be responsible for administration of Act

4. The Commissioner is responsible, subject to the control and directions of the Minister, for the administration of this Act.

PART 2
REGISTRATION OF CONVEYANCERS

Conveyancers to be registered

5. A person must not carry on business, or hold himself or herself out, as a conveyancer unless registered under this Act.

Maximum penalty: \$20 000.

Application for registration

6. An application for registration must—

- (a) be made to the Commissioner in the manner and form approved by the Commissioner; and
- (b) be accompanied by the fee fixed by regulation.

Entitlement to be registered

7. (1) A natural person is entitled to be registered as a conveyancer if the person—

- (a) has—
 - (i) the qualifications required by regulation; or
 - (ii) subject to the regulations, the qualifications that the Commissioner considers appropriate; and
- (b) has not been convicted of an offence of dishonesty; and
- (c) is not suspended or disqualified from practising or carrying on an occupation, trade or business under a law of this State, the Commonwealth, another State or a Territory of the Commonwealth; and
- (d) is not an undischarged bankrupt or subject to a composition or deed or scheme of arrangement with or for the benefit of creditors; and
- (e) has not, during the period of five years preceding the application for registration, been a director of a body corporate wound up for the benefit of creditors—
 - (i) when the body was being so wound up; or
 - (ii) within the period of six months preceding the commencement of the winding up.

(2) Subject to subsection (3), a company is entitled to be registered as a conveyancer if—

- (a) the company—
 - (i) is not suspended or disqualified from practising or carrying on an occupation, trade or business under a law of this State, the Commonwealth, another State or a Territory of the Commonwealth; and
 - (ii) is not being wound up and is not under official management or in receivership; and

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- (b) no director of the company—
 - (i) has been convicted of an offence of dishonesty; or
 - (ii) is suspended or disqualified from practising or carrying on an occupation, trade or business under a law of this State, the Commonwealth, another State or a Territory of the Commonwealth; or
 - (iii) has, during the period of five years preceding the application for registration, been a director of a body corporate wound up for the benefit of creditors—
 - (A) when the body was being so wound up; or
 - (B) within the period of six months preceding the commencement of the winding up.

(3) A company is not entitled to be registered as a conveyancer unless the memorandum and articles of association of the company contain stipulations so that—

- (a) the sole object of the company must be to carry on business as a conveyancer;
- (b) the directors of the company must be natural persons who are registered conveyancers (but where there are only two directors one may be a registered conveyancer and the other may be a prescribed relative of that conveyancer);
- (c) no share in the capital of the company, and no rights to participate in distribution of profits of the company, may be owned beneficially except by—
 - (i) a registered conveyancer who is a director or employee of the company; or
 - (ii) a prescribed relative of a registered conveyancer who is a director or employee of the company; or
 - (iii) an employee of the company;
- (d) not more than 10 per cent of the issued shares of the company may be owned beneficially by employees who are not registered conveyancers;
- (e) the total voting rights exercisable at a meeting of the members of the company must be held by registered conveyancers who are directors or employees of the company;
- (f) no director of the company may, without the prior approval of the Commissioner, be a director of another company that is a registered conveyancer;
- (g) the shares in the company beneficially owned by any person must be—
 - (i) redeemed by the company; or
 - (ii) transferred to a person who is to become a director or employee of the company or to the trustee of such a person; or
 - (iii) distributed among the remaining members of the company,in accordance with the memorandum and articles of association of the company,

- (iv) in the case of shares beneficially owned by the person as a registered conveyancer who is a director or employee of the company or as a prescribed relative of such a conveyancer—on the conveyancer ceasing to be a registered conveyancer or a director or employee of the company;
- (v) in the case of shares beneficially owned by the person as the spouse of a registered conveyancer—on the dissolution or annulment of their marriage or, in the case of a putative spouse, on the cessation of cohabitation with the registered conveyancer;
- (vi) in the case of shares beneficially owned by a person as an employee of the company—on the person ceasing to be an employee of the company.

Appeals

7A. (1) An applicant for registration may appeal to the Court against a decision of the Commissioner refusing the application.

(2) Subject to subsection (4), an appeal must be instituted within one month of the making of the decision appealed against or such longer period as the Court may allow.

(3) The Commissioner must, if so required by the applicant, state in writing the reasons for the Commissioner's decision to refuse the application.

(4) If the reasons of the Commissioner are not given in writing at the time of making the decision and the applicant (within one month of the making of the decision) requires the Commissioner to state the reasons in writing, the time for instituting an appeal runs from the time at which the applicant receives the written statement of those reasons.

(5) Except as determined by the Court, an appeal is to be conducted by way of a fresh hearing and for that purpose the Court may receive evidence given orally or, if the Court determines, by affidavit.

(6) The Court may, on the hearing of an appeal—

- (a) affirm the decision appealed against or rescind the decision and substitute a decision that the Court thinks appropriate; and
- (b) make any other order that the case requires (including an order for costs).

Duration of registration and annual fee and return

8. (1) Registration remains in force (except for any period for which it is suspended) until—

- (a) the registration is surrendered or cancelled; or
- (b) the registered conveyancer dies or, in the case of a registered company, is dissolved.

(2) A registered conveyancer must, each year not later than the date fixed by regulation—

- (a) pay to the Commissioner the fee fixed by regulation; and
- (b) lodge with the Commissioner a return in the manner and form required by the Commissioner.

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(3) Where a registered conveyancer fails to pay the annual fee or lodge the annual return in accordance with subsection (2), the Commissioner may, by notice in writing, require the conveyancer to make good the default and, in addition, to pay to the Commissioner the amount fixed by regulation as a penalty for default.

(4) Where the conveyancer fails to comply with the notice within 28 days after service of the notice, the conveyancer's registration is cancelled.

(5) The Commissioner must notify the conveyancer in writing of the cancellation of the conveyancer's registration.

(6) A registered conveyancer may surrender registration.

(7) In this section—

"**registered conveyancer**" includes a registered conveyancer whose registration has been suspended.

Requirements for professional indemnity insurance

9. (1) A person must, at all times when carrying on business as a conveyancer, be insured in accordance with the regulations.

(2) A conveyancer's registration is suspended for any period for which the conveyancer is not insured as required under subsection (1).

PART 3
PROVISIONS REGULATING INCORPORATED CONVEYANCERS

Non-compliance with memorandum or articles

10. Where one or more of the stipulations required by Part 2 to be included in the memorandum and articles of association of a company registered as a conveyancer are not complied with, the company is guilty of an offence.

Maximum penalty: \$20 000.

Alteration of memorandum or articles of association

11. A company that is registered as a conveyancer must not alter its memorandum or articles of association so that the memorandum and articles cease to conform to the requirements of Part 2 for registration of a company as a conveyancer.

Maximum penalty: \$20 000.

Companies not to carry on conveyancing business in partnership

12. A company that is a registered conveyancer must not carry on business as a conveyancer in partnership with another person without the prior approval of the Commissioner.

Maximum penalty: \$20 000.

Joint and several liability

13. Despite any other Act or law, a civil liability incurred by a company that is a registered conveyancer is enforceable jointly and severally against the company and the persons who were directors of the company at the time the liability was incurred.

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**PART 4
TRUST ACCOUNTS AND INDEMNITY FUND**

DIVISION 1—PRELIMINARY

Interpretation of Part 4

14. (1) In this Part, unless the contrary intention appears—

"**auditor**" means a registered company auditor within the meaning of the *Corporations Law*;

"**fiduciary default**" means a defalcation, misappropriation or misapplication of trust money occurring while the money is in the possession or control of—

(a) a conveyancer; or

(b) a firm of which a conveyancer is a member;

"**record**" includes information kept by computer, microfilm or other process;

"**trust account**" means an account in which trust money is required to be deposited by a conveyancer;

"**trust money**", in relation to a conveyancer, means money—

(a) that is received by the conveyancer when acting on behalf of another in connection with a dealing with land; and

(b) to which the conveyancer is not wholly entitled in law and in equity,

but does not include money received by a conveyancer in the course of mortgage financing.

(2) A reference in this Part to a fiduciary default extends to a fiduciary default that occurred before the commencement of this Act.

DIVISION 2—TRUST ACCOUNTS

Trust money to be deposited in trust account

15. (1) A conveyancer must, as soon as practicable after receiving trust money, deposit the money in an account (in the name of the conveyancer) approved by the Commissioner at an ADI.

Maximum penalty: \$20 000.

(2) A conveyancer must not pay any other money into the conveyancer's trust account.

Maximum penalty: \$20 000.

(3) A conveyancer must not withdraw, or permit another person to withdraw, money from a trust account except in accordance with this Part.

Maximum penalty: \$20 000.

(4) The Commissioner may, by notice in writing to an ADI—

(a) approve accounts of a particular class (being accounts that carry interest at a rate considered satisfactory by the Commissioner) for the purposes of this section; or

- (b) vary or revoke any such approval.

Withdrawal of money from trust account

16. A conveyancer may withdraw money from a trust account—

- (a) for payment to the person entitled to the money or for payment in accordance with the directions of that person; or
- (b) in satisfaction of a claim for commission, fees, costs or disbursements that the conveyancer has against the person on behalf of whom the money is held; or
- (c) to satisfy an order of a court against the person on behalf of whom the conveyancer is holding the money; or
- (d) for payment into a court before which proceedings have been instituted in relation to the money; or
- (e) for the purpose of dealing with the money in accordance with the *Unclaimed Moneys Act 1891*; or
- (f) for making any other payment authorised by law.

Payment of interest on trust accounts to Commissioner

17. Interest that an ADI is liable to pay in respect of trust money held in a trust account must be paid by the ADI to the Commissioner on the days fixed by regulation.

Appointment of administrator of trust account

18. (1) If the Commissioner knows or suspects on reasonable grounds that a conveyancer—

- (a) is not registered as required by law; or
- (b) has been guilty of a fiduciary default in relation to trust money; or
- (c) has operated on the trust account in such an irregular manner as to require immediate supervision; or
- (d) has acted contrary to this Act or otherwise unlawfully or improperly or negligently in the conduct of the business; or
- (e) in the case of a natural person—
- (i) is dead or cannot be found; or
- (ii) is suffering from mental or physical incapacity preventing the conveyancer from properly attending to the conveyancer's affairs; or
- (f) has ceased to carry on business as a conveyancer; or
- (g) has become bankrupt or insolvent or has taken the benefit (as a debtor) of a law relating to bankrupt or insolvent debtors or, in the case of a company, is being wound up, is under official management or is in receivership,

the Commissioner may appoint a person to administer the conveyancer's trust account.

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(2) This section applies in relation to conduct occurring before or after the commencement of this Act.

(3) The Commissioner must, as soon as practicable after appointing an administrator, give notice in writing of the appointment to—

- (a) the conveyancer; and
- (b) all persons who are entitled (whether solely or jointly) to withdraw money from, or deal with money in, the account; and
- (c) the ADI at which the account is held.

(4) A person who has been given notice of the appointment of an administrator, or who knows that an administrator has been appointed, must not withdraw money from, or deal with money in, the trust account while the appointment continues.

Maximum penalty: \$20 000.

(5) An ADI that has been given notice of the appointment of an administrator must not, while the appointment continues, accept any withdrawal of, or dealing with, money in the trust account unless the withdrawal or dealing is at the direction, or with the written approval, of the administrator.

Maximum penalty: \$20 000.

Appointment of temporary manager

19. (1) Where an administrator is appointed under this Division, the Commissioner may appoint that person or some other person to be a temporary manager to transact any urgent or uncompleted business of the conveyancer.

(2) The Commissioner must, as soon as practicable after appointing a temporary manager, give notice in writing of the appointment to the conveyancer.

Powers of administrator or temporary manager

20. The following powers may be exercised by an administrator or temporary manager:

- (a) the administrator or manager may require any person in a position to do so—
 - (i) to produce documents and records relating to the conveyancer's business including written records that reproduce in a readily understandable form information kept by computer, microfilm or other process; and
 - (ii) to provide information relevant to the conveyancer's business; and
- (b) the administrator may require any person in a position to do so to provide all authorities and orders to ADIs and others that may be reasonably required for the operation of the conveyancer's trust account; and
- (c) the administrator or manager may take possession of documents and records forming part of the conveyancer's business and for that purpose may enter business premises of the conveyancer using such force as is reasonably necessary; and
- (d) such other powers as the Commissioner sets out in the instrument of appointment of the administrator or the manager.

Term of appointment of administrator or temporary manager

21. (1) The appointment of an administrator or temporary manager remains in force for such period (not exceeding 12 months) as is specified in the instrument of appointment unless sooner terminated by the Commissioner or the Court.

(2) Subject to an order of the Court to the contrary, the Commissioner may reappoint an administrator.

Appeal against appointment of administrator or temporary manager

22. (1) A conveyancer may, within 28 days after receiving notice of the appointment of an administrator or temporary manager, appeal to the Court against the appointment.

(2) On an appeal, the Court may confirm or annul the appointment.

Keeping of records

23. (1) A conveyancer must keep detailed records of all trust money received by the conveyancer and of any disbursement of, or other dealing with, that money and must compile detailed accounts of those receipts and disbursements that—

- (a) accurately disclose the state of the trust account maintained by the conveyancer; and
- (b) enable the receipt and disposition of trust money to be conveniently and properly audited; and
- (c) comply with all other requirements specified by regulation.

Maximum penalty: \$20 000.

(2) In particular, the conveyancer must, in respect of the receipt of trust money—

- (a) make available to the person making payment a receipt that sets out the information specified by regulation in the form specified by regulation; and
- (b) make and retain a copy of the receipt as part of the conveyancer's records.

Maximum penalty: \$20 000.

(3) A conveyancer must, at the request of a person who has an interest in trust money, provide that person with a statement setting out details of dealings by the conveyancer with the money.

Maximum penalty: \$20 000.

(4) A conveyancer must keep the accounts and records referred to in this section or a corresponding previous enactment in a legible written form, or so as to be readily convertible into such a form, for at least five years.

Maximum penalty: \$20 000.

Audit of trust accounts

24. (1) A conveyancer who maintains a trust account must—

- (a) have the accounts and records kept under this Division audited by an auditor in respect of each audit period specified by regulation; and

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(b) lodge with the Commissioner a statement relating to the audit that sets out the information specified by regulation.

(2) A conveyancer, who did not maintain a trust account during a particular audit period, must make and lodge with the Commissioner a declaration, in a form approved by the Commissioner, setting out the reasons for not maintaining a trust account during that period.

(3) A conveyancer who—

(a) fails to have accounts and records audited as required; or

(b) fails to lodge the audit statement or declaration within the time allowed by or under the regulations,

is guilty of an offence.

Maximum penalty: \$20 000.

(4) Where a conveyancer fails to lodge the audit statement or declaration within the time allowed by or under the regulations, the Commissioner may, by notice in writing, require the conveyancer to make good the default and, in addition, to pay to the Commissioner the amount fixed by the regulations as a civil penalty for the default.

(5) Where the conveyancer fails to comply with the notice within 28 days after service of the notice, the conveyancer's registration is cancelled.

(6) The Commissioner must notify the conveyancer of the cancellation of the conveyancer's registration.

(7) A conveyancer is not liable to both a civil penalty and a criminal penalty in respect of the same default under this section and, consequently, payment of the civil penalty exonerates the conveyancer from liability to a criminal penalty and payment of a criminal penalty exonerates the conveyancer from liability to the civil penalty.

Appointment of examiner

25. (1) The Commissioner may, at any time, appoint a person—

(a) to examine, either generally or in a particular case, the accounts and records kept under this Division by a conveyancer; and

(b) to examine, either generally or in a particular case, the audit program, working papers and other documents used or prepared by an auditor in the course of auditing the trust accounts of a conveyancer; and

(c) to confer with an auditor in relation to audits of the trust accounts of a conveyancer.

(2) An examiner may, and must if required by the Commissioner to do so, provide the Commissioner with a confidential report as to the state of any accounts or records subject to examination by the examiner.

(3) The Commissioner must, as soon as practicable, cause a copy of a report provided by an examiner to be given or sent by post to the conveyancer.

(4) In this section—

"**conveyancer**" includes a former conveyancer.

Obtaining information for purposes of audit or examination

26. (1) An auditor employed by a conveyancer to make an audit of the trust accounts of the conveyancer, or an examiner appointed under this Division, may require the conveyancer or any other person in a position to do so—

- (a) to produce all the accounts (including accounts that are not trust accounts) relating to the business of the conveyancer and all documents and records relating to those accounts, including written records that reproduce in a readily understandable form information kept by computer, microfilm or other process; and
- (b) to provide any relevant information relating to the operation of the accounts.

(2) The manager or other principal officer of an ADI or other financial institution with which a conveyancer has deposited money, whether in his or her own account or in a general or separate trust account, must, on being required to do so by an auditor or examiner employed or appointed to make an audit or examination under this Division, disclose every such account (including all deposit slips, cancelled cheques and other documents relating to the operation of the account) to the auditor or examiner.

Maximum penalty: \$20 000.

(3) A person who is required by this section to produce documents to an auditor or examiner must permit the auditor or examiner to make a copy of the whole, or any part, of those documents.

Maximum penalty: \$20 000.

(4) In this section—

"**account**" includes a record required to be kept under this Division in relation to the receipt and disposition of trust money;

"**conveyancer**" includes a former conveyancer.

ADIs, etc., to report deficiencies in trust accounts

27. An ADI with which a trust account has been established must, as soon as practicable, and in any event within 14 days, after becoming aware of a deficiency in that account, report the deficiency to the Commissioner.

Maximum penalty: \$20 000.

Confidentiality

28. (1) An administrator, temporary manager, auditor or examiner must not divulge information that has come to his or her knowledge in the course of performing functions under this Act or a corresponding previous enactment except—

- (a) to the conveyancer; or
- (b) to the Commissioner; or

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- (c) as otherwise required by law.

Maximum penalty: \$20 000.

(2) A person engaged in the administration of this Act, must not divulge information disclosed in a report provided under this Part or a corresponding previous enactment except—

- (a) for the purpose of confidential consideration of the report by the Minister or the Commissioner; or
- (b) as is otherwise necessary for the proper administration of this Act; or
- (c) as is otherwise permitted or required by law.

Maximum penalty: \$20 000.

ADIs, etc., not affected by notice of trust

29. (1) Subject to subsection (2), an ADI is not affected by notice of a specific trust to which money deposited in a trust account is subject, and is not bound to satisfy itself of the due application of that money.

- (2) This section does not relieve an ADI of liability for negligence.

Failing to comply with requirement of administrators, etc.

30. A person must not—

- (a) refuse or fail to comply with a requirement of an administrator, temporary manager, auditor or examiner under this Division; or
- (b) hinder, delay or obstruct an administrator, temporary manager, auditor or examiner in the performance of functions under this Division by altering or destroying relevant documents or by any other means.

Maximum penalty: \$20 000.

DIVISION 3—INDEMNITY FUND

Indemnity Fund

31. (1) The following money is to be paid into the indemnity fund:

- (a) interest paid by ADIs to the Commissioner on trust accounts; and
- (b) money recovered by the Commissioner from a conveyancer in relation to the conveyancer's default; and
- (c) fines recovered as a result of disciplinary proceedings under Part 5; and
- (d) any other money required to be paid into the fund under this or any other Act.

(2) Money standing to the credit of the indemnity fund may be applied by the Commissioner for any of the following purposes:

- (a) the costs of investigating complaints, and of disciplinary proceedings, against conveyancers or former conveyancers;

- (b) the costs of prosecutions for offences against this Act;
- (c) costs consequent on the appointment of an administrator, temporary manager or examiner under this Act;
- (d) the costs of processing claims under this Division and of paying out those claims to the extent authorised by this Division;
- (e) the costs of administering and insuring the fund;
- (f) the payment of amounts, approved by the Minister, towards the cost of prescribed educational programs conducted for the benefit of conveyancers or members of the public;
- (g) any other purpose specified by or under this or any other Act.

Claims on indemnity fund

32. (1) Subject to this Division, a person who—

- (a) has suffered pecuniary loss as a result of a fiduciary default; and
- (b) has no reasonable prospect of recovering the full amount of that loss (except under this Division),

may claim compensation under this Division.

(2) The amount of a claim cannot exceed the actual pecuniary loss suffered by the claimant in consequence of the fiduciary default less any amount that the claimant has received or may reasonably be expected to recover (apart from this Division) in reduction of that loss.

(3) A person is not entitled to make a claim under this Division where—

- (a) the conveyancer by whom the fiduciary default was committed, or to whom the fiduciary default relates, was required to be registered or licensed under this Act or a corresponding previous enactment; and
- (b) that person knew, or ought to have known, at the time of appointing or instructing the conveyancer, that the conveyancer was not so registered or licensed.

Limitation of claims

33. (1) The Commissioner may, by notice published in a newspaper circulating generally throughout the State, fix a day (not earlier than three months after the publication of the notice) on or before which claims in respect of a fiduciary default, or a series of fiduciary defaults, referred to in the notice, must be made.

(2) A claim that is not made within the time fixed by the notice is barred unless the Court, on application, otherwise determines.

(3) No action in defamation lies in respect of the publication, in good faith, of the notice.

Establishment of claims

34. (1) A claim for compensation must be made to the Commissioner.

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(2) The Commissioner must, on receipt of a claim for compensation—

- (a) give the conveyancer or former conveyancer concerned notice in writing of the claim; and
- (b) allow the claimant and the conveyancer or former conveyancer a reasonable opportunity to appear before the Commissioner personally or by representative to make submissions as to the claim.

(3) The Commissioner must, by notice in writing, inform the claimant and the conveyancer or former conveyancer of the Commissioner's determination of the claim.

Claims by conveyancers

35. (1) A conveyancer who has paid compensation to a person for pecuniary loss suffered in consequence of a fiduciary default committed by a partner or employee of the conveyancer may make a claim for compensation to the Commissioner.

(2) The Commissioner must, on receipt of the claim, if satisfied that—

- (a) all legal or equitable claims in respect of the fiduciary default have been fully satisfied; and
- (b) the claimant acted honestly and reasonably in all the circumstances of the case,

determine the amount of compensation payable to the claimant and, by notice in writing, inform the claimant of the determination.

(3) A person is not entitled to make a claim under this section where—

- (a) the conveyancer by whom the fiduciary default was committed, or to whom the fiduciary default relates, was required to be registered or licensed under this Act or a corresponding previous enactment; and
- (b) that person knew, or ought to have known, at the time of the default, that the conveyancer was not so registered or licensed.

Personal representative may make claim

36. The personal representative of a claimant (including a deceased claimant) is entitled to make the claim on behalf of the claimant or the claimant's estate.

Appeal against Commissioner's determination

37. (1) The claimant or the conveyancer or former conveyancer by whom the fiduciary default was committed or to whom the fiduciary default relates may, within three months after receiving notice of the Commissioner's determination, appeal to the Court against the determination.

(2) Where an appeal is not instituted within the time allowed, the claimant's entitlement to compensation is finally determined for the purposes of this Division.

(3) On an appeal, the Court may—

- (a) affirm or quash the determination appealed against or substitute a determination that the Court thinks appropriate; and
- (b) make an order as to any other matter that the case requires (including an order for costs).

Determination, evidence and burden of proof

38. (1) In determining a claim for compensation under this Division, any possible reduction to which the claimant's entitlement may be subject because of insufficiency of the indemnity fund must be disregarded.

(2) In determining a claim for compensation under this Division—

- (a) an admission or confession may be accepted as evidence of a fiduciary default despite the absence of the person by whom the admission or confession was made; and
- (b) questions of fact are to be decided on the balance of probabilities.

Claimant's entitlement to compensation and interest

39. (1) Subject to any reduction because of insufficiency of the indemnity fund, a claimant is entitled to payment of the amount of compensation determined under this Division.

(2) A claimant's entitlement to compensation will (to the extent to which it has not been satisfied or discharged) be increased by interest at the rate fixed by regulation from the first anniversary of the lodgment of the claim with the Commissioner until the entitlement is satisfied or discharged, but interest must not be calculated on any component of the claimant's entitlement that is attributable to interest that has already accrued under this subsection.

Rights of Commissioner

40. (1) Where the Commissioner makes a payment (whether in respect of compensation or interest) to a claimant, the Commissioner is, to the extent of the payment, subrogated to the rights of the claimant against a person liable at law or in equity for the fiduciary default in respect of which the payment was made.

(2) However, this section does not confer on the Commissioner any right to recover money from a person whose liability in respect of a fiduciary default does not arise from a wrongful or negligent act or omission on that person's part.

Insurance in respect of claims against indemnity fund

41. (1) The Commissioner may insure the indemnity fund to such extent as the Commissioner thinks fit against claims under this Division.

(2) The cost of the insurance will be paid from the indemnity fund.

Insufficiency of indemnity fund

42. (1) Where the indemnity fund is insufficient to pay all outstanding amounts to which claimants are entitled, the Commissioner must make proportionate reductions in the amounts paid out in respect of those entitlements.

(2) Where, at the time at which a claimant's entitlement to compensation is determined, other persons have made claims that have not yet been determined, the Commissioner may defer payment of the claimant's entitlement for any period (not exceeding 12 months) in order to allow time for the entitlements of those other claimants to be determined.

(3) The Commissioner may set aside a part of the indemnity fund as the Commissioner from time to time considers necessary to protect the interests of persons who—

- (a) have made claims against the fund that have not been determined; or

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(b) are likely to make claims against the fund in the future,

and, when determining whether payments to claimants should be reduced, the Commissioner must not take into account a part of the fund set aside by the Commissioner.

(4) If, after a claimant's entitlement to compensation has been determined, the claimant recovers an amount (that was not allowed for in that determination) in respect of the pecuniary loss suffered by the claimant, the claimant's entitlement to compensation is reduced by the amount recovered.

(5) Entitlements in respect of which payments are made under this section are discharged despite the fact that they may not have been satisfied in full.

(6) The Commissioner may, with the approval of the Minister, make payments to a person—

(a) whose entitlement to compensation has been discharged; or

(b) whose entitlement to compensation is insufficient because an amount that the person was expected to recover in respect of the pecuniary loss has not been recovered; or

(c) whose claim is barred,

but a payment so made does not revive or reinstate an entitlement or claim.

Accounts and audit

43. (1) The Commissioner must keep proper accounts of all money received and dealt with under this Division.

(2) The Auditor-General may at any time, and must at least once in every calendar year, audit those accounts.

**PART 5
DISCIPLINE**

Interpretation of Part 5

44. In this Part—

"conveyancer" includes—

- (a) a former conveyancer; and
- (b) a person registered as a conveyancer, whether or not carrying on business as a conveyancer; and
- (c) a person formerly registered as a conveyancer;

"director" of a company includes a former director of a company.

Cause for disciplinary action

45. (1) There is proper cause for disciplinary action against a conveyancer if—

- (a) registration of the conveyancer was improperly obtained; or
- (b) the conveyancer has acted contrary to an assurance accepted by the Commissioner under the *Fair Trading Act 1987*; or
- (c) the conveyancer or any other person has acted contrary to this Act or otherwise unlawfully, or improperly, negligently or unfairly, in the course of conducting, or being employed or otherwise engaged in, the business of the conveyancer; or
- (d) events have occurred such that—
 - (i) the conveyancer would not be entitled to be registered as a conveyancer if he or she were to apply for registration; or
 - (ii) the conveyancer is not a fit and proper person to be registered as a conveyancer; or
 - (iii) in the case of a conveyancer that is a company, a director is not a fit and proper person to be the director of a company that is registered as a conveyancer.

(2) Disciplinary action may be taken against each director of a company that is a conveyancer if there is proper cause for disciplinary action against the company.

(3) Disciplinary action may not be taken against a person in relation to the act or default of another if that person could not reasonably be expected to have prevented that act or default.

(4) This section applies in relation to conduct occurring before or after the commencement of this Act.

Complaints

46. The Commissioner or any other person may lodge with the Court a complaint setting out matters that are alleged to constitute grounds for disciplinary action under this Part.

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Hearing by Court

47. (1) On the lodging of a complaint, the Court may conduct a hearing for the purpose of determining whether the matters alleged in the complaint constitute grounds for disciplinary action under this Part.

- (2) Without limiting the usual powers of the Court, the Court may during the hearing—
- (a) allow an adjournment to enable the Commissioner to investigate or further investigate matters to which the complaint relates; and
 - (b) allow the modification of the complaint or additional allegations to be included in the complaint subject to any conditions as to adjournment and notice to parties and other conditions that the Court may think fit to impose.

Participation of assessors in disciplinary proceedings

48. In any proceedings under this Part, the Court will, if a Judge of the Court so determines, sit with assessors selected in accordance with schedule 1.

Disciplinary action

49. (1) On the hearing of a complaint, the Court may, if it is satisfied on the balance of probabilities that there is proper cause for taking disciplinary action against the person to whom the complaint relates, by an order or orders do one or more of the following:

- (a) reprimand the person;
- (b) impose a fine not exceeding \$20 000 on the person;
- (c) in the case of a person who is registered as a conveyancer—
 - (i) suspend the registration for a specified period or until the fulfilment of stipulated conditions or until further order; or
 - (ii) cancel the registration;
- (d) in the case of a person whose registration is suspended—impose conditions as to the conduct of the person or the person's business as a conveyancer after the end of the period of suspension;
- (e) disqualify the person from being registered under this Act;
- (f) prohibit the person from being employed or otherwise engaged in the business of a conveyancer;
- (g) prohibit the person from being a director of a company that is a conveyancer.

(2) The Court may—

- (a) stipulate that a disqualification or prohibition is to apply—
 - (i) permanently; or
 - (ii) for a specified period; or
 - (iii) until the fulfilment of stipulated conditions; or

(iv) until further order;

(b) stipulate that an order relating to a person is to have effect at a specified future time and impose conditions as to the conduct of the person or the person's business until that time.

(3) A fine imposed under subsection (1) is payable to the Commissioner for the credit of the indemnity fund.

(4) If—

(a) a person has been found guilty of an offence; and

(b) the circumstances of the offence form, in whole or in part, the subject matter of the complaint,

the person is not liable to a fine under this section in respect of conduct giving rise to the offence.

Contravention of orders

50. (1) If a person contravenes or fails to comply with a condition imposed by the Court as to the conduct of the person or the person's business, the person is guilty of an offence.

Maximum penalty: \$35 000 or imprisonment for 6 months.

(2) If a person—

(a) is employed or otherwise engages in the business of a conveyancer; or

(b) becomes a director of a company that is a conveyancer,

in contravention of an order of the Court, that person and the conveyancer are each guilty of an offence.

Maximum penalty: \$35 000 or imprisonment for 6 months.

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**PART 6
MISCELLANEOUS**

Delegations

51. (1) The Commissioner may delegate any of the Commissioner's functions or powers under this Act—

- (a) to a person employed in the Public Service; or
- (b) to the person for the time being holding a specified position in the Public Service; or
- (c) to any other person under an agreement under this Act between the Commissioner and an organisation representing the interests of conveyancers.

(2) The Minister may delegate any of the Minister's functions or powers under this Act (except the power to direct the Commissioner).

(3) A delegation under this section—

- (a) must be in writing; and
- (b) may be conditional or unconditional; and
- (c) is revocable at will; and
- (d) does not prevent the delegator from acting in any matter.

Agreement with professional organisation

52. (1) The Commissioner may, with the approval of the Minister, make an agreement with an organisation representing the interests of conveyancers under which the organisation undertakes a specified role in the administration or enforcement of this Act.

(2) The agreement—

- (a) must be in writing and executed by the Commissioner and the organisation; and
- (b) may contain delegations by the Commissioner of functions or powers under this Act or the *Fair Trading Act 1987*; and
- (c) must set out any conditions governing the performance or exercise of functions or powers conferred on the organisation; and
- (d) must make provision for the variation and termination of the agreement by the Commissioner with the approval of the Minister or the organisation.

(3) The Commissioner may not delegate any of the following for the purposes of the agreement:

- (a) functions or powers under Part 2;
- (b) the approval of classes of accounts at ADIs under Division 2 of Part 4;
- (c) the appointment, reappointment or termination of appointment of a person to administer a conveyancer's trust account or of a temporary manager under Division 2 of Part 4;

- (d) functions or powers under Division 3 of Part 4;
 - (e) power to request the Commissioner of Police to investigate and report on matters under Part 6;
 - (f) power to commence a prosecution for an offence against this Act.
- (4) A delegation by the Commissioner for the purposes of the agreement—
- (a) has effect subject to the conditions specified in the agreement; and
 - (b) may be varied or revoked by the Commissioner in accordance with the terms of the agreement; and
 - (c) does not prevent the Commissioner from acting in any matter.
- (5) The Minister must, within six sitting days after the making of the agreement, cause a copy of the agreement to be laid before both Houses of Parliament.

Exemptions

53. (1) The Minister may, on application by a person, exempt the person from compliance with a specified provision of this Act.

- (2) An exemption is subject to the conditions (if any) imposed by the Minister.
- (3) The Minister may, at his or her discretion, vary or revoke an exemption.
- (4) The grant or a variation or revocation of an exemption must be notified in the *Gazette*.

Register of conveyancers

54. (1) The Commissioner must keep a register of persons registered as conveyancers under this Act.

- (2) The Commissioner must record on the register—
 - (a) disciplinary action taken against a person under this Act; and
 - (b) a note of any assurance accepted by the Commissioner under the *Fair Trading Act 1987* in relation to a registered conveyancer.
- (3) A person may inspect the register on payment of the fee fixed by regulation.

Commissioner and proceedings before Court

55. (1) The Commissioner is entitled to be joined as a party to any proceedings of the Court under this Act.

- (2) The Commissioner may appear personally in any such proceedings or may be represented at the proceedings by counsel or a person employed in the Public Service.

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False or misleading information

56. A person must not make a statement that is false or misleading in a material particular (whether by reason of the inclusion or omission of any particular) in any information provided, or record kept, under this Act.

Maximum penalty:

- (a) If the person made the statement knowing that it was false or misleading—\$10 000.
- (b) In any other case—\$2 500.

Statutory declaration

57. Where a person is required to provide information to the Commissioner, the Commissioner may require the information to be verified by statutory declaration and, in that event, the person will not be taken to have provided the information as required unless it has been verified in accordance with the requirements of the Commissioner.

Investigations

58. The Commissioner of Police must, at the request of the Commissioner, investigate and report on any matter relevant to—

- (a) the determination of an application under this Act; or
- (b) a matter that might constitute proper cause for disciplinary action under this Act.

General defence

59. It is a defence to a charge of an offence against this Act if the defendant proves that the offence was not committed intentionally and did not result from any failure on the part of the defendant to take reasonable care to avoid the commission of the offence.

Liability for act or default of officer, employee or agent

60. For the purposes of this Act, an act or default of an officer, employee or agent of a person carrying on a business will be taken to be an act or default of that person unless it is proved that the officer, employee or agent acted outside the scope of his or her actual, usual and ostensible authority.

Offences by companies

61. Where a company is guilty of an offence against this Act, each director of the company is, subject to the general defence under this Part, guilty of an offence and liable to the same penalty as may be imposed for the principal offence.

Continuing offence

62. (1) A person convicted of an offence against a provision of this Act in respect of a continuing act or omission—

- (a) is liable, in addition to the penalty otherwise applicable to the offence, to a penalty for each day during which the act or omission continued of not more than one-tenth of the maximum penalty prescribed for that offence; and
- (b) is, if the act or omission continues after the conviction, guilty of a further offence against the provision and liable, in addition to the penalty otherwise applicable to the further offence, to a penalty for each day during which the act or omission continued after the conviction of not more than one-tenth of the maximum penalty prescribed for the offence.

(2) Where an offence consists of an omission to do something that is required to be done, the omission will be taken to continue for as long as the thing required to be done remains undone after the end of the period for compliance with the requirement.

Prosecutions

63. (1) Proceedings for an offence against this Act must be commenced—

- (a) in the case of an expiable offence—within the time limits prescribed for expiable offences by the *Summary Procedure Act 1921*;
- (b) in any other case—within 2 years of the date on which the offence is alleged to have been committed or, with the authorisation of the Minister, at a later time within 5 years of that date.

(2) A prosecution for an offence against this Act cannot be commenced except by—

- (a) the Commissioner; or
- (b) an authorised officer under the *Fair Trading Act 1987*; or
- (c) a person who has the consent of the Minister to commence the prosecution.

(3) In any proceedings, an apparently genuine document purporting to be a certificate of the Minister certifying authorisation of, or consent to, a prosecution for an offence against this Act will be accepted, in the absence of proof to the contrary, as proof of the authorisation or consent.

Evidence

64. In any proceedings, a certificate executed by the Commissioner certifying—

- (a) that a person was or was not registered as a conveyancer on a specified date; or
- (b) as to any matter relating to the appointment of an administrator, temporary manager or examiner,

constitutes proof, in the absence of proof to the contrary, of the matters so certified.

Service of documents

65. (1) Subject to this Act, a notice or document required or authorised by this Act to be given to or served on a person may—

- (a) be served on the person personally; or
- (b) be posted in an envelope addressed to the person—
 - (i) at the person's last known address; or
 - (ii) where the person is a registered conveyancer—at the person's address for service; or
- (c) where the person is a registered conveyancer—be left for the person at the address for service with someone apparently over the age of 16 years; or
- (d) be transmitted by facsimile transmission to a facsimile number provided by the person (in which case the notice or document will be taken to have been given or served at the time of transmission).

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(2) The address for service of a registered conveyancer is the address of which the Commissioner has been last notified in writing by the conveyancer as the conveyancer's address for service.

Annual report

66. (1) The Commissioner must, on or before 31 October in each year, submit to the Minister a report on the administration of this Act during the period of 12 months ending on the preceding 30 June.

(2) The report must contain the audited statement of accounts of the indemnity fund for the period to which the report relates.

(3) The Minister must, within six sitting days after receipt of the report, cause a copy of the report to be laid before each House of Parliament.

Regulations

67. (1) The Governor may make such regulations as are contemplated by, or necessary or expedient for the purposes of, this Act.

(2) Without limiting the generality of subsection (1), the regulations may—

- (a) require registered conveyancers to comply with a code of conduct;
- (b) require conveyancers to lodge with the Commissioner certificates evidencing the conveyancer's insurance coverage as required under Part 2;
- (c) fix fees to be paid in respect of any matter under this Act and regulate the recovery, refund, waiver or reduction of such fees;
- (d) exempt (conditionally or unconditionally) classes of persons or activities from the application of this Act or specified provisions of this Act;
- (e) impose a penalty (not exceeding \$2 500) for contravention of, or non-compliance with, a regulation;
- (f) fix expiation fees, not exceeding \$210, for alleged offences against the regulations.

(3) Regulations under this Act—

- (a) may be of general application or limited application;
- (b) may make different provision according to the matters or circumstances to which they are expressed to apply;
- (c) may provide that a matter or thing in respect of which regulations may be made is to be determined according to the discretion of the Commissioner or the Minister.

(4) The regulations may operate by reference to a specified code as in force at a specified time or as in force from time to time.

(5) If a code is referred to in the regulations—

- (a) a copy of the code must be kept available for inspection by members of the public, without charge and during normal office hours, at an office determined by the Minister; and

- (b) evidence of the contents of the code may be given in any legal proceedings by production of a document apparently certified by the Minister to be a true copy of the code.

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SCHEDULE 1

Appointment and Selection of Assessors for Court

(1) The Minister must establish a panel of persons who may sit as assessors consisting of persons representative of conveyancers.

(2) The Minister must establish a panel of persons who may sit as assessors consisting of persons representative of members of the public who deal with conveyancers.

(3) A member of a panel is to be appointed by the Minister for a term of office not exceeding three years and on conditions determined by the Minister and specified in the instrument of appointment.

(4) A member of a panel is, on the expiration of a term of office, eligible for reappointment.

(5) Subject to subclause (6), if assessors are to sit with the Court in proceedings under Part 5, a Judge of the Court on the complaint must select one member from each of the panels to sit with the Court in the proceedings.

(6) A member of a panel who has a personal or a direct or indirect pecuniary interest in a matter before the Court is disqualified from participating in the hearing of the matter.

(7) If an assessor dies or is for any reason unable to continue with any proceedings, the Court constituted of the judicial officer who is presiding at the proceedings and the other assessor may, if the judicial officer so determines, continue and complete the proceedings.

SCHEDULE 2

Transitional Provisions

General

1. (1) A person who held a licence as a land broker under the *Land Agents, Brokers and Valuers Act 1973* immediately before the commencement of this Act will be taken to have been registered as a conveyancer under this Act.

(2) An approval, appointment or order in force under the *Land Agents, Brokers and Valuers Act 1973* immediately before the commencement of this Act in relation to a land broker or former land broker will be taken to be an approval, appointment or order in force under the corresponding provision of this Act.

(3) A notice given or served under the *Land Agents, Brokers and Valuers Act 1973* in relation to a land broker or former land broker has effect as a notice given or served under the corresponding provision of this Act.

(4) A reference in an Act or other instrument to a licensed land broker will be taken to be a reference to a conveyancer registered under this Act.

Mortgage financiers

2. (1) In this clause—

"**mortgage financier**" means a person who—

- (a) is—
 - (i) a conveyancer; or
 - (ii) an associate of a conveyancer; and
- (b) engages in mortgage financing;

"**spouse**" includes a person who is a putative spouse (whether or not a declaration has been made under the *Family Relationships Act 1975* in relation to that person);

"**trust money**", in relation to a mortgage financier, means money received by a mortgage financier in the mortgage financier's capacity as such to which the mortgage financier is not wholly entitled at law and in equity.

(2) For the purposes of this clause, a person is an associate of another if—

- (a) they are partners; or
- (b) one is a spouse, parent or child of the other; or
- (c) they are both trustees or beneficiaries of the same trust, or one is a trustee and the other is a beneficiary of the same trust; or
- (d) one is a body corporate and the other is a director of the body corporate; or
- (e) one is body corporate and the other is a person who has a legal or equitable interest in five per cent or more of the share capital of the body corporate; or
- (f) a chain of relationships can be traced between them under any one or more of the above paragraphs.

(3) This clause applies—

- (a) to trust money received by a mortgage financier before the commencement of this Act; and

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(b) where trust money received by a mortgage financier was lent to another on the security of a mortgage before the commencement of this Act—to trust money received by the mortgage financier (whether before or after that commencement) by way of payment of principal or interest, or both, under that loan.

(4) Part 4 applies to a mortgage financier as if—

(a) a reference in that Part to a conveyancer were a reference to a mortgage financier; and

(b) a reference in that Part to trust money were a reference to trust money to which this clause applies.

(5) The power of the Governor to make regulations under this Act includes power to make regulations requiring mortgage financiers to provide specified information to prospective investors or regulating or making provision with respect to any other matter relating to mortgage financiers.

APPENDIX

LEGISLATIVE HISTORY

Transitional Provisions

(Transitional provision from Statutes Amendment (Sunday Auctions and Indemnity Fund) Act 1995, Sched.)

Validation of Past Payments out of Fund

(1) Payments out of the indemnity fund maintained under Part 3 Division 3 of the *Land Agents Act 1994* before the commencement of this Act are to be regarded as having been lawfully made if made for a purpose of a kind referred to in section 29 of the *Land Agents Act 1994* as amended by this Act or section 31 of the *Conveyancers Act 1994* as amended by this Act.

(Transitional provision from Statutes Amendment and Repeal (Common Expiation Scheme) Act 1996, s. 5)

5. An Act repealed or amended by this Act will continue to apply (as in force immediately prior to the repeal or amendment coming into operation) to an expiation notice issued under the repealed or amended Act.

Legislative History

(entries in bold type indicate amendments incorporated since the last reprint)

Section 3:	definition of "money" amended by 33, 1999, Sched. (item 12(a))
Section 5:	amended by 21, 1998, Sched. cl. 3
Section 7(1):	amended by 21, 1998, s. 12
Section 7A:	inserted by 21, 1998, s. 13
Sections 10 - 12:	amended by 21, 1998, Sched. cl. 3
Section 15(1):	amended by 21, 1998, Sched. cl. 3; 33, 1999, Sched. (item 12(b))
Section 15(2) and (3):	amended by 21, 1998, Sched. cl. 3
Section 15(4):	amended by 33, 1999, Sched. (item 12(c))
Section 17:	amended by 33, 1999, Sched. (item 12(d))
Section 18(3):	amended by 33, 1999, Sched. (item 12(e))
Section 18(4):	amended by 21, 1998, Sched. cl. 3
Section 18(5):	amended by 21, 1998, Sched. cl. 3; 33, 1999, Sched. (item 12(f))
Section 20:	amended by 33, 1999, Sched. (item 12(g))
Section 23(1) - (4):	amended by 21, 1998, Sched. cl. 3
Section 24(3):	amended by 21, 1998, Sched. cl. 3
Section 26(2):	amended by 21, 1998, Sched. cl. 3; 33, 1999, Sched. (item 12(h))
Section 26(3):	amended by 21, 1998, Sched. cl. 3
Section 27:	amended by 21, 1998, Sched. cl. 3; 33, 1999, Sched. (item 12(i))
Section 28(1) and (2):	amended by 21, 1998, Sched. cl. 3
Section 29(1) and (2):	amended by 33, 1999, Sched. (item 12(j))
Section 30:	amended by 21, 1998, Sched. cl. 3
Section 31(1):	amended by 33, 1999, Sched. (item 12(k))
Section 31(2):	substituted by 96, 1995, s. 5
Section 48:	amended by 21, 1998, s. 14
Section 49(1):	amended by 21, 1998, Sched. cl. 3
Section 50(1) and (2):	amended by 21, 1998, Sched. cl. 3
Section 52(3):	amended by 33, 1999, Sched. (item 12(l))
Section 56:	amended by 21, 1998, Sched. cl. 3
Section 63(1):	substituted by 21, 1998, Sched. cl. 3
Section 67(2):	amended by 34, 1996, s. 4 (Sched. cl. 13); 21, 1998, Sched. cl. 3
Schedule 1	
Subclause (5):	amended by 21, 1998, s. 15